PTO-13890 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

to a collection of information unless it displays a valid OMB control n

| Onder the Laperwork Reduction | Act of 1999, no persons are required to respond to a concention | | | | | |
|--|---|---|--|--|--|--|
| TRANSMITTAL LETTER DESIGNATED/ELECTI | ATTORNEY'S DOCKET NUMBER 41587-406 | | | | | |
| CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/564,716 | | | | |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/07893 07/15/2004 | | PRIORITY DATE CLAIMED 07/15/2003 | | | | |
| TITLE OF INVENTION | | | | | | |
| ADJUSTER FOR THE VERTICAL ADJU APPLICANT(S) FOR DO/EO/US | STMENT OF A HEAD RESTRAINT | 3-0-0-F | | | | |
| Robert Kopetsky, et al. | | | | | | |
| | tates Designated/Elected Office (DO/EO/US) |) the following items and other information: | | | | |
| 1. This is a FIRST submission of iter | ms concerning a filing under 35 U.S.C. 371. | | | | | |
| 2. This is a SECOND or SUBSEQU | ENT submission of items concerning a filing | g under 35 U.S.C. 371. | | | | |
| 3. This is an express request to begin items (5), (6), (9) and (21) indicate | national examination procedures (35 U.S.C. ed below. | 371(f)). The submission must include | | | | |
| 4. The US has been elected (Article 3 | 31). | | | | | |
| 5. A copy of the International Applic | ation as filed (35 U.S.C. 371(c)(2)) | | | | | |
| a. is attached hereto (require | ed only if not communicated by the Internation | onal Bureau). | | | | |
| b. has been communicated b | y the International Bureau. | | | | | |
| c. is not required, as the app | lication was filed in the United States Received | ving Office (RO/US). | | | | |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| a. is attached hereto. | | | | | | |
| b. has been previously subm | b. has been previously submitted under 35 U.S.C. 154 (d)(4). | | | | | |
| 7. Amendments to the claims of the I | | | | | | |
| a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| b. have been communicated | by the International Bureau. | | | | | |
| c. have not been made; how | ever, the time limit for making such amendm | ients has NOT expired. | | | | |
| d. have not been made and will not be made. | | | | | | |
| 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | |
| Items 11 to 20 below concern docum | ent(s) or information included: | | | | | |
| 11. An Information Disclosure Statem | | | | | | |
| 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. A preliminary amendment. | | | | | | |
| 14. A Application Data Sheet under 3 | 7 CFR 1.76. | | | | | |
| 15. A substitute specification. | | | | | | |
| 16. A of power of attorney and/or char | | | | | | |
| | sequence listing in accordance with PCT Rule | | | | | |
| 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 20. M Other items or information: Certified Copy of Priority Documents DE103 32 097.0; DE103 39 000.6; DE203 15 594.7 | | | | | | |
| Commed copy of Friends Detro | 55 52 57 No, DE103 57 000.0, DE203 13 37 | | | | | |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| u.s. app 10/5 <u>6</u> 4 | | (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION PCT/EP2004/07893 | ON NO. | | ATTORNEY'S DOCKET 41587-406 | 'NUMBER | |
|---|--|---|---------------------------------|---|------------|------------------------------|--------------------------------|------------|---------------------------------------|
| | | The following fees are submitte | ed: | | | | CALCULATIONS | PTO US | E ONLY |
| 21. | | • | | | | \$300 | \$ | | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)(0) All other situations\$200 | | | | s | | | | | |
| Interna | fee (37 CF Internati ational Sear | Search Fee FR 1.44(a)(2)) has bee onal Searching Author och Report prepared ar | n paid ority | on the international applicated to the Office | ication to | the US PTO as an(0) \$400 | \$ | | |
| | | TO | ΓAL OI | F 21, 22 AND 23= | | | \$0.00 | | |
| program The fee | n listing filed i | | s files in paper or f Num | paper over 100 sheets (exclud | raction | RATE | - | | |
| | -100= | /50= | Life | ereor (round up to a whole hun | iber) | X \$250 | \$0.00 | | |
| Surcha | arge of \$130 | | oath o | r declaration later than30 | months | from the earliest | \$ | | |
| | CLAIMS | NUMBER F | | NUMBER EXTRA | | RATE | | | |
| Total | | | 20 = | TOMBER EXTRA | x \$ 50 | IGTIE | \$0.00 | _ | |
| | ndent clain | ns - | 3 = | | x \$200 | | \$0.00 | | · · · · · · · · · · · · · · · · · · · |
| MULT | TIPLE DEP | ENDENT CLAIM(S) | (if app | olicable) | + \$360 | | \$0.00 | | |
| | | | | TOTAL OF ABOV | E CALC | ULATIONS = | \$0.00 | | |
| | Applicant are reduce | | atus. S | ee 37 CFR 1.27. The fee | es indicat | ed above | \$ | | |
| | | - · · · | | | | SUBTOTAL = | \$0.00 | | |
| Proces earlies | ssing fee of t claimed p | \$130.00 for furnishin riority date (37 CFR 1 | g the E .492(f) | nglish translation later th | an 30 mo | onths from the | \$0.00 | | |
| | | - | | TOTA | L NAT | IONAL FEE = | \$0.00 | | |
| Fee fo accom | r recording panied by a | the enclosed assignment appropriate cover s | ent (37 heet (31 | CFR 1.21(h)). The assi 7 CFR 3.28, 3.31). \$40. | 00 per pr | operty + | \$0.00 | | |
| | | | | TOTAL | L FEES | ENCLOSED = | \$0.00 | | |
| Other: | Surcharge | fee for late submission | on of sig | gned Declaration | | | Amount to be refunded: | \$ | 130.00 |
| | <u>. </u> | | | | | | charged: | \$ | |
| | | | | to cove o. 08-3460 in the amoun | | ve fees is enclosed. | to cover the a | bove fees. | |
| | A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-3460</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. [| | | | d. WARNING: Information on this form. Provide | | | | | |
| | | | | nder 37 CFR 1.494 or 1 o restore the application | | | etition to review (3? | CFR | |
| SEND | ALL COR | RESPONDENCE TO |) : | | D | 1 //1 | 2 0 | | |
| Rebecca J. Brandau, Reg. No. 33,654 | | | | | | | | | |
| Husch & Eppenberger, LLC Agent's Signature | | | | | - | | | | |
| | arondelet P | - · | | | | | | | |
| | | | | | | | | | |
| | uis, MO 63 180-1500 | 7103 | | | Doto: 4 | ma. 20 | 2010G | | |
| (314)4 3 200 6/ | | 0000068 10564716 | | | Date: 1 | 1100 | | | |
| :1617 | | 130.00 | OP . | | | | | | |
| : | | | | | | | | | |

In the United States Patent and Trademark Office

| In the application of: |) Examiner: TBA |
|-------------------------------------|-----------------------------------|
| Robert Kopetzky |) |
| |) |
| Application No.: 10/564,716 |) Group Art Unit: TBA |
| Int'l. Filing Date: 07/15/2004 |) Attorney Docket No.: 41587-406 |
| |) According Docket No.: 41387-400 |
| Entitled: Adjuster for the Vertical |) |
| Adjustment of a Head Restraint |) |

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)

On April 18, 2006, a Notification of Missing Requirements under 35 U.S.C. in the United States Designed/Elected Office (DO/EO/US) ("Notice") was mailed to Applicant, indicating the oath or declaration was missing. In response, attached hereto is a copy of the Notice and signed Declaration.

A check in the amount of \$130.00 for filing this Response is enclosed. The Office is authorized to charge any additional fees and/or credit any overpayment to deposit account number 08-3460. A duplicate copy of this sheet is enclosed.

Customer No. 029493

Rebecca J. Brandau, 33,654

Husch & Eppenberger, LLC

190 Carondelet Plaza

St. Louis, Missouri 63105

Telephone Number: 314-480-1500

Facsimile: 314-480-1505



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginis 22313-1450 www.uspto.gov

OC000000018546669

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | • | ATT | Y, DOCKET NO. | |
|-----------------------------------|-----------------------|--|-----------|---------------|--|
| 10/564,716 | Robert Kopetzky | | 41587-406 | | |
| | | INTERNATIONAL APPLICATION NO. | | | |
| 20402 | RECEIVED | | /07893 | | |
| 29493 HUSCH & EPPENBERGER, LLC | | I.A. FIL | ING DATE | PRIORITY DATE | |
| 190 CARONDELET PLAZA SUITE 600 | ~a APR 2 4 2006 | 07/1: | 5/2004 | 07/15/2003 | |
| ST. LOUIS, MO 63105-3441 | Husch-Eppenberger LLC | CONFIRMATION NO. 16 371 FORMALITIES LETTER | | _ETTER | |

Date Mailed: 04/18/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/13/2006
- English Translation of the IA filed on 01/13/2006
- Copy of the International Search Report filed on 01/13/2006
- Copy of IPE Report filed on 01/13/2006
- Copy of Annexes to the IPER filed on 01/13/2006
- English Translation of Annexes to the IPE filed on 01/13/2006
- Preliminary Amendments filed on 01/13/2006
- Request for Immediate Examination filed on 01/13/2006
- U.S. Basic National Fees filed on 01/13/2006
- Priority Documents filed on 01/13/2006

DOCKETED Due Date: By: 2d Check:

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$1,30 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/564,716 | PCT/EP04/07893 | 41587-406 |

FORM PCT/DO/EO/905 (371 Formalities Notice)